

# TRADING AUTHORIZATION AND INDEMNIFICATION FORM

Complete this form to give a designated person limited trading authority or full trading authority on an account.

Full trading authorization gives a designated person the power to place orders in an account, request disbursements, and make inquiries concerning the account, such as obtaining account balances. Limited trading authorization gives a designated person the above powers, excluding the ability to request disbursements.

## I. ACCOUNT INFORMATION

ACCOUNT TITLE: \_\_\_\_\_

ACCOUNT NUMBER:     -

## II. AUTHORIZATION

The undersigned hereby authorizes the following individual (whose information appears below) as my (our) authorized agent and attorney in fact to buy, sell (including short sales), and trade in stocks, bonds, options contracts, and any other securities and/or commodities and/or contracts relating to the same on margin or otherwise in accordance with your terms and conditions for the undersigned's account and risk and in the undersigned's name, or number on your books. In addition, the undersigned hereby specifically authorizes the agent to make transactions, which would result in uncovered short positions in options contracts or in the uncovering of any existing short position in options contracts. The undersigned hereby agrees to indemnify and hold you harmless from and to pay you promptly on demand any and all losses arising therefrom or debit balance due thereon.

### LIMITED TRADING AUTHORIZATION

In all such purchases, sales, or trades you are authorized to follow the instructions of the authorized agent in every respect concerning the undersigned's account with you; and he is authorized to act for the undersigned and in the undersigned's behalf in the same manner and with the same force and effect as the undersigned might or could do with respect to such purchases, sales, or trades as well as with respect to all other things necessary or incidental to the furtherance or conduct of such purchases, sales, or trades. Limited trading authorization does not permit the authorized agent to redeem or withdraw assets from the undersigned's account.

### FULL TRADING AUTHORIZATION

You are authorized to follow the instructions of the authorized agent in every respect concerning the undersigned's account with you, and make deliveries of securities and payment of moneys to him or as he may order and direct. In all matters and things aforementioned, as well as in all other things necessary or incidental to the furtherance or conduct of the account of the undersigned, the authorized agent and attorney in fact is authorized to act for the undersigned and in the undersigned's behalf in the same manner and with the same force and effect as the undersigned might or could do.

## III. INDEMNIFICATION

The undersigned hereby ratifies and confirms any and all transactions with you heretofore or hereafter made by the aforesaid agent for the undersigned's account. This authorization and indemnity is also a continuing one and shall remain in full force and effect until revoked by the undersigned by a written notice addressed to you and delivered to your office at \_\_\_\_\_, but such revocation shall not affect any liability in any way resulting from transactions initiated prior to such revocation. This authorization and indemnity shall inure to the benefit of your present firm and of any successor firm or firms irrespective of any change or changes at any time in the personnel thereof for any cause whatsoever, and of the assigns of your present firm or any successor firm.



**IV. SIGNATURE**

ACCOUNT OWNER'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

JOINT ACCOUNT OWNER'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

AGENT'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

**V. AUTHORIZED AGENT**

AGENT'S NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_ CITY: \_\_\_\_\_ STATE: \_\_\_\_\_

PROVINCE/COUNTY/SUBDIVISION: \_\_\_\_\_ COUNTRY: \_\_\_\_\_ ZIP/POSTAL CODE: \_\_\_\_\_

SOCIAL SECURITY NUMBER:    -   -     or TAXPAYER IDENTIFICATION NUMBER:    -

SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_